STATE OF OKLAHOMA

1st Session of the 58th Legislature (2021)

COMMITTEE SUBSTITUTE FOR

SENATE BILL NO. 521 By: Montgomery

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7 COMMITTEE SUBSTITUTE

An Act relating to roofing; amending 59 O.S. 2011, Sections 1151.2, as last amended by Section 1, Chapter 178, O.S.L. 2018, Section 8, Chapter 270, O.S.L. 2014, 1151.3, as amended by Section 2, Chapter 270, O.S.L. 2014, 1151.5, as last amended by Section 1, Chapter 265, O.S.L. 2015, 1151.7, as amended by Section 5, Chapter 270, O.S.L. 2014, 1151.9, as last amended by Section 2, Chapter 178, O.S.L. 2018, 1151.10, as amended by Section 9, Chapter 270, O.S.L. 2014, 1151.14, as amended by Section 11, Chapter 270, O.S.L. 2014, 1151.17, as amended by Section 13, Chapter 270, O.S.L. 2014, Section 16, Chapter 270, O.S.L. 2014, and Section 17, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020, Sections 1151.2, 1151.2a, 1151.3, 1151.5, 1151.7, 1151.9, 1151.10, 1151.14, 1151.17, 1151.27 and 1151.28), which relate to the Roofing Contractor Registration Act; adding certain definition; adding commercial labor-only endorsement registration; providing for subcontractor registration without commercial endorsement requirement; stating registration procedures and qualifications; requiring certain bond for certain purpose; setting bond amount; directing filing of bond with the Bond and Insurance Unit of the Construction Industries Board; requiring certain verifications of experiences; setting maximum registration fee and renewal fee; requiring certain amount of liability insurance; providing for late renewals; setting late renewal fee; requiring continuing education requirements for renewal; providing for renewal of active duty military service; excepting certain fee for certain military persons; and providing an effective date.

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1 BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:
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- 2 | SECTION 1. AMENDATORY 59 O.S. 2011, Section 1151.2, as
- 3 | last amended by Section 1, Chapter 178, O.S.L. 2018 (59 O.S. Supp.
- 4 | 2020, Section 1151.2), is amended to read as follows:
- Section 1151.2. As used in the Roofing Contractor Registration 6 Act:
- 1. "Advertise" means any written publication, dissemination,

 8 solicitation, contract, bid, promotional item, or circulation which

 9 is intended to directly or indirectly induce any person to contract

 10 for roofing construction services with the advertiser, including,
- 11 but not limited to, business cards, telephone directory display
- 12 | advertisements, vehicle signage, radio, television and electronic
- 13 | solicitations;

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- 2. "Applicant" means the qualifying party, or if no qualifying
 party, any person applying under the Roofing Contractor Registration
 Act for a roofing contractor registration to be issued by the
- 17 | Construction Industries Board;
 - 3. "Board" means the Construction Industries Board;
- 19 4. "Committee" means the Committee of Roofing Examiners;
- 5. "Homeowner" means one who owns and resides in, or who
- 21 resides in, or who contracts for the purchase, construction,
- 22 remodeling or repairing of a residence;
- 6. "Nonresident contractor" means any contractor who has not
- 24 established and maintained a place of business as a roofing

contractor in this state within the preceding year, or who claims residency in another state, or who has not submitted an income tax return as a resident of this state within the preceding year;

- 7. "Owner" means person who owns the property or is a lessee of the property;
- 8. "Person" means any natural person, firm, limited or general partnership, corporation, association, limited liability company, trust, association, other legal entity and any organization capable of conducting business, or any combination thereof acting as a unit, unless the intent to give a more limited meaning is disclosed clearly by the Roofing Contractor Registration Act;
- 9. "Prime contractor" means a general contractor, commercial contractor, or other contractor who contracts directly with the owner for construction trade work in multiple trade areas;
- 10. "Project manager" means one who manages construction projects consisting of work involving multiple trades;
- 11. "Public contract" means a contract with the State of Oklahoma, its political subdivisions, or any board, commission, or department thereof, or with any board of county commissioners, or with any city council, school board, or with any state or municipal agency, or with any other public board, body, commission, or agency authorized to award contracts for the construction or reconstruction of public works and includes subcontracts undertaken to perform works covered by the original contract or any part thereof;

12. "Qualifying party" means a natural person who is an officer or owner of the corporation, a member of the limited liability company, or a general partner of the limited liability partnership, and who is actively engaged in the work undertaken by the registrant for which a registration is required pursuant to the Roofing Contractor Registration Act who meets the experience and ability requirements for registration on behalf of the registrant;

- 13. "Registrar" means the Construction Industries Board or any person designated by the Board to administer the provisions of the Roofing Contractor Registration Act;
- 14. "Registration" means the process of applying for an initial or renewal registration which upon approval is exhibited by a registration number and card issued pursuant to the Roofing Contractor Registration Act;
- 15. "Registration number" means the roofing registration number issued by the registrar to the registrant's qualifying party;
- 16. "Registrant" means a holder of a registration issued pursuant to the Roofing Contractor Registration Act;
- 17. "Residence" means a single structure for residential occupancy or use which is a detached one- to four-family dwelling or a multiple single-family dwelling (townhouse) not more than three stories/floors above grade plane in height with a separate means of egress, and any appurtenances thereto, which is intended for use as a primary habitation and is in compliance with the International

Residential Code, as adopted by the Oklahoma Uniform Building Code Commission;

- 18. "Roofing contractor" means any person; including a subcontractor and nonresident contractor; engaged in the business of commercial or residential roofing contractor work, or who himself or herself, or through another, attempts to or advertises, holds himself or herself out as having, or purports to have, the capacity to undertake roofing contractor work, or offers to engage in or solicits roofing installation-related services; including construction, installation, renovation, remodeling, reroofing, repair, maintenance, alteration, and waterproofing, unless specifically exempted in the Roofing Contractor Registration Act. Roofing contractor shall not mean:
 - a. a person engaged in the demolition of a structure or the cleanup of construction waste and debris that contains roofing material,
 - b. a person working under the direct supervision of the roofing contractor who is hired either as an employee, day laborer, or contract laborer whose payment, received in any form, from the roofing contractor is subject to self-employment tax,
 - c. a person working on his or her own property or that of an immediate relative and such person is not receiving any compensation, or

d. a person acting as a handyman who is receiving compensation from the property owner and who is performing the roofing repair in conjunction with other repairs to the property and who does not perform more than two roofing jobs per year;

- 19. "Roofing contractor work" means the installation, fabrication or assembly of equipment or systems included in roofing systems as defined in the International Building Code and the International Residential Code, as adopted by the Oklahoma Uniform Building Code Commission, and which codes are hereby adopted and incorporated by reference. Roofing construction work includes, but is not limited to, installation, renovation, remodeling, reroofing, reconstructing, repair, maintenance, improvement, alteration, and waterproofing, unless specifically exempted in the Roofing Contractor Registration Act.
 - a. "Commercial roofing contractor work" means work done on commercial, industrial or public building roofing systems or structures as defined in the International Building Code, as adopted by the Oklahoma Uniform Building Code Commission; except it does not mean buildings used for commercial purposes having equivalent or substantially the same roofing requirements as a "residence" defined herein; including, but not limited to, business offices

converted from a structure that formerly was a residence, and

- b. "Residential roofing contractor work" means work done on roofing systems as defined in the International Residential Code, as adopted by the Oklahoma Uniform Building Code Commission, or as defined as a "residence" herein; including buildings used for commercial purposes having asphalt shingles, tile shingles, synthetic shakes, wood shakes or other comparable materials applied to a sloped roof equal to the same roofing requirements as a "residence" defined herein; including but not limited to business offices converted from a structure that formerly was a residence;
- 20. "Roofing Hearing Board" means the Roofing Hearing Board which shall consist of a designee of the Construction Industries Board, as chair, and the members of the Committee of Roofing Examiners;
- 21. "Subcontractor" means one who contracts with a prime contractor, general contractor, residential contractor, project manager, property manager, another subcontractor, or another entity for roofing contractor work;
- 22. "Variance" means the use of an alternative material or method of construction from that prescribed in the International

Building Code or the International Residential Code or other

approved documents by the Oklahoma Uniform Building Code Commission

for use at a particular location or project specified in the

variance application;

23. "Variance and Appeals Board" means the Oklahoma State Roofing Installation Code Variance and Appeals Board;

- 24. "Labor-only crews" means a crew that is to perform the installation of asphalt shingles, tile shingles, synthetic shakes, wood shakes or other comparable materials to a sloped roof and to complete roofing work; and
- 25. "Commercial labor-only subcontractor" means a registered roofing subcontractor that has a commercial labor-only endorsement that is hired and working under the direct supervision of a commercial-endorsed roofing contractor to perform the labor for installation of roofing work that does not provide any roofing materials for the work and is prohibited from bidding or contracting commercial roofing work directly with a building owner or other building management entity; and
- 26. "Prefabricated- or pre-engineered-metal-building erector"

 means the labor necessary to construct the components of a

 prefabricated- or pre-engineered-metal-building package, known as

 PEMB, as defined by the Construction Science Institute and

 Construction Specifications Institute in Division 13, Special

 Construction: Metal Building Systems.

1 SECTION 2. AMENDATORY Section 8, Chapter 270, O.S.L. 2 2014 (59 O.S. Supp. 2020, Section 1151.2a), is amended to read as

3 follows:

Section 1151.2a. A. The Construction Industries Board is authorized to administer and enforce the Roofing Contractor Registration Act.

- B. 1. The Construction Industries Board is authorized to administer and enforce the commercial roofer endorsement and the commercial labor-only endorsement provisions of the Roofing Contractor Registration Act.
- 2. The Construction Industries Board shall have the authority to administer and enforce the provisions of the commercial roofer endorsement and the commercial labor-only endorsement provisions of the Roofing Contractor Registration Act, including the authority to:
 - a. establish the examination and continuing education requirements and procedures for endorsements of persons desiring or intending to engage in the business or trade of a commercial roofing contractor with the advice and input from the Committee of Roofing Examiners using assistance from a third-party vendor as necessary and appropriate,
 - b. establish and enforce the minimum standards of commercial roofer endorsements <u>and commercial labor-</u> <u>only endorsements</u> in this state and rules promulgated

pursuant to the commercial roofer endorsement <u>and the</u>

<u>commercial labor-only endorsement</u> provisions of the

Roofing Contractor Registration Act with the advice

and input from the Committee of Roofing Examiners,

- c. promulgate, prescribe, amend, and repeal rules

 necessary to implement the provisions of the

 commercial roofer endorsement and the commercial

 labor-only endorsement of the Roofing Contractor

 Registration Act with the advice and input from the

 Committee of Roofing Examiners,
- d. issue, renew, suspend, revoke, modify or deny endorsements to engage in commercial roofing contractor work pursuant to the Roofing Contractor Registration Act,
- e. conduct investigations for the purpose of inspecting commercial roofer endorsements and commercial laboronly endorsements for compliance with the commercial roofer endorsement and the commercial labor-only
 endorsement provisions of the Roofing Contractor
 Registration Act, and of the rules of the Board promulgated pursuant thereto, into the qualifications of applicants and allegations of violations,
- f. establish and levy administrative fines against any person who violates any of the provisions of the

commercial roofer endorsement or the commercial laboronly endorsement standards of the Roofing Contractor
Registration Act or any rule promulgated pursuant
thereto, not to exceed five percent (5%) of the
commercial job. For any residential job on a first
violation the Board may issue a warning; on any second
violation levy and administrative fine not to exceed
Five Hundred Dollars (\$500.00); on a third violation,
levy an administrative fine not to exceed Three
Thousand Five Hundred Dollars (\$3,500.00); and for any
subsequent violation, revoke the registration and
commercial roofer endorsement or the commercial laboronly endorsement,

- g. initiate disciplinary proceedings and provide hearings on any person who violates any of the provisions of the commercial roofer endorsement or the commercial labor-only endorsement standards of the Roofing Contractor Registration Act or any rule promulgated pursuant thereto,
- h. request prosecution of and initiate injunctive proceedings against any person who violates any of the provisions of the commercial roofers endorsement or the commercial labor-only endorsement in the Roofing Contractor Registration Act or any rule promulgated

1 pursuant to the commercial roofer endorsement or the commercial labor-only endorsement of the Roofing Contractor Registration Act, and

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- i. exercise all incidental powers as necessary and proper to implement and enforce the provisions of the commercial roofer endorsement and the commercial labor-only endorsement of the Roofing Contractor Registration Act and the rules promulgated pursuant thereto.
- AMENDATORY SECTION 3. 59 O.S. 2011, Section 1151.3, as amended by Section 2, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020, Section 1151.3), is amended to read as follows:

Section 1151.3. A. All roofing contractors shall be registered annually by the Board. All registrations shall be nontransferable. It is unlawful for any person to act as a roofing contractor without having a current and valid roofing contractor's registration or act as a commercial roofing contractor without a current and valid commercial roofer endorsement or commercial labor-only endorsement issued pursuant to the Roofing Contractor Registration Act, unless the person is exempt under the Roofing Contractor Registration Act. Evidence of securing a permit, including roofing work from a governmental agency or the employment of a person on a roofing project, shall be accepted in any court as prima facie evidence of the existence of a contract.

Each copy of a roofing contractor's record, which would include responses to any complaints, that is from and verified by the registrar, or a verified statement from the registrar that there is no record as no application was made, shall be received in all courts in this state as prima facie evidence of the facts stated therein.

A verified copy of a roofing contractor's administrative citation for unregistered activity or without required commercial roofer endorsement or commercial labor-only endorsement and order of final disposition from the registrar shall be received in all courts in this state as prima facie evidence of the facts stated therein, including establishment thereby of the first offense.

B. A person shall not engage or offer to engage in, by advertisement or otherwise, the business nor act in the capacity of a roofing contractor within this state nor shall that person bring or maintain any claim, action, suit, or proceeding in any court of this state related to the person's business or capacity as a roofing contractor without a valid registration and commercial roofer endorsement or commercial labor-only endorsement, when required, continuously while performing the work for which the claim, action, suit, or proceeding is sought, as provided in the Roofing Contractor Registration Act. No business entity shall advertise or act as a roofing contractor unless such business is a registered roofing contractor with a valid commercial roofer endorsement or commercial

<u>labor-only endorsement</u>, when required, and is in good standing, and is associated with and responsible for all roofing contractor work of such entity. Any business entity violating the provisions of this subsection shall be subject to administrative penalty by the Board not to exceed Five Thousand Dollars (\$5,000.00).

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C. A person who fails to obtain a valid registration and endorsement when required prior to advertising or offering to engage as or acting as a roofing contractor as defined in the Roofing Contractor Registration Act, or a person who acts as a roofing contractor while his or her registration is not in good standing or is suspended or revoked without complying with the required disclosure and option for homeowner to cancel the contract provisions of subsection I of Section 1151.5 of this title, or a person who violates any provision of the Roofing Contractor Registration Act, shall be guilty of a misdemeanor, upon conviction or plea, punishable by a fine not to exceed Five Hundred Dollars (\$500.00) for each violation. More than one misdemeanor violation in any twelve-month period shall be grounds for the suspension of the registration, and shall cause the person to be ineligible for registration for a period not to exceed twenty-four (24) months after all requirements of the sentence, or deferment of sentence, and probation have been met, including the payment of any restitution or rehabilitative treatment.

In lieu of referring complaints of violations of the Roofing Contractor Registration Act to the district attorney for misdemeanor prosecution, the Board in its discretion may issue administrative fines to any person up to Five Hundred Dollars (\$500.00) for violations of any provision of the Roofing Contractor Registration Act or its rules or regulations. A person who fails to obtain a valid registration prior to acting as a roofing contractor, or a person who acts as a roofing contractor while his or her registration or commercial roofer endorsement or commercial laboronly endorsement is not in good standing or is suspended or revoked without complying with the required disclosure and option for homeowner to cancel the contract provisions of subsection I of Section 1151.5 of this title, on first offense, may be administratively fined and disciplined after notice and opportunity for hearing before the Roofing Hearing Board. A person who violates any other provision of the Roofing Contractor Registration Act, on first offense within a two-year period, may be administratively fined and disciplined by the Roofing Hearing Board in its discretion in lieu of referral to the district attorney as a misdemeanor, or the matter may be referred to the district attorney. Misdemeanor conviction, guilty plea, or nolo contendere plea due to alleged violations of the Roofing Contractor Registration Act shall be grounds for the revocation of the registration and shall cause the person to be ineligible for registration for a period not to exceed

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twelve (12) months after all requirements of the sentence, or deferment of sentence, and probation have been met, including the payment of any restitution or rehabilitative treatment.

- E. Administrative fines collected pursuant to the Roofing
 Contractor Registration Act shall be placed in the Roofing
 Contractor Registration Revolving Fund pursuant to Section 1151.20
 of this title.
- F. It is the duty of the building official or other authority charged with the duty of issuing roofing permits of any incorporated municipality or subdivision of the municipality or county to refuse to issue a roofing permit for any roofing undertaking which would require a registration pursuant to the Roofing Contractor Registration Act unless the applicant has furnished evidence that he or she is either registered as required or is exempt from the registration requirements of the Roofing Contractor Registration Act.
- G. The Roofing Hearing Board may make application to the appropriate court for an order enjoining the acts or practices prohibited by the Roofing Contractor Registration Act, and upon a showing by the Roofing Hearing Board that the person or firm has engaged in, or is about to engage in, any of the prohibited acts or practices, an injunction, restraining order or other order as may be appropriate shall be granted by the court.

SECTION 4. AMENDATORY 59 O.S. 2011, Section 1151.5 as
last amended by Section 1, Chapter 265, O.S.L. 2015 (59 O.S. Supp.
3 2020, Section 1151.5), is amended to read as follows:

Section 1151.5. A. Applications for registration shall be made to the Construction Industries Board in writing on forms approved and provided by the Board and shall be accompanied by the proper fee.

- B. An applicant or qualifying party whose registration is denied, or the person has a conviction, or pled guilty or nolo contendere to a misdemeanor, or otherwise fails to meet the requirements of application, may obtain a hearing before the Committee of Roofing Examiners in order to provide information in support of the application requirements and any other information showing the applicant's ability and willingness to comply with the requirements of the Roofing Contractor Registration Act, and to protect the public health, safety and welfare.
- C. To obtain a roofing contractor registration under the Roofing Contractor Registration Act, a qualifying party who is eighteen (18) years of age or older shall submit, on forms the registrar prescribes:
 - 1. An application under oath containing a statement:
 - a. of the qualifying party's experience and qualifications as a roofing contractor, if any,

that the qualifying party desires the issuance of a 1 b. roofing contractor registration certificate,

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- that the qualifying party has read the Roofing C. Contractor Registration Act and will comply with the provisions of the Roofing Contractor Registration Act and rules,
- d. that the qualifying party will comply with state laws and local ordinances relating to standards and permits,
- that the qualifying party has or has not been е. registered or licensed as a roofing contractor in another state and whether any disciplinary action has been taken against such registration or license and whether it is currently in good standing, and
- f. that the nonresident qualifying party appoints the Secretary of State as legal service agent for all lawful process to be served upon the applicant for work performed in this state or as otherwise provided in the Roofing Contractor Registration Act;
- The qualifying party's name, physical address, business name, telephone number, address and place of incorporation, if different, information on any other person who will be authorized to act as the business entity, and the applicant's phone number, if different;

3. The entity's federal tax ID number, or the employer's or owner's or qualifying party's social security number, and the employer's account number assigned by the Employment Security Commission. The social security number information shall remain with the registrar as confidential and privileged except for necessary disclosures to state agencies to verify compliance with requirements with this act, or upon request by law enforcement; and

4. A copy of the roofing contractor's certificate of liability insurance shall be filed with the application and shall be not less than Five Hundred Thousand Dollars (\$500,000.00) for residential roofing contractor work and shall not be less than One Million Dollars (\$1,000,000.00) for commercial roofing contractor work or commercial labor-only subcontractor work. Any insurance company issuing a liability policy to a roofing contractor pursuant to the provisions of the Roofing Contractor Registration Act shall be required to notify the Construction Industries Board in the event such liability policy is cancelled for any reason or lapses for nonpayment of premiums. All registrations granted under the Roofing Contractor Registration Act shall be suspended on the date of the policy cancellation. The registrar must receive proof of insurance prior to restoring the registration.

In addition, the roofing contractor shall submit proof that the contractor has secured workers' compensation coverage satisfactory under the Workers' Compensation Act, or an affidavit of exemption or

self-insurance as authorized pursuant to the Workers' Compensation

Act. If the registrar deems it appropriate or necessary, the

registrar may also require other information to be included on the

application form to assist the registrar in registering the person

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as a contractor.

- D. The qualifying party applying for a commercial roofer endorsement or commercial labor-only endorsement must provide information on the legal entity, including but not limited to the articles, organizational agreements or documents establishing the legal entity, including a list of the officers, members, managers, partners, or other managing agents of the legal entity. The qualifying party shall also provide a certificate of good standing or a trade name report from the Office of the Secretary of State.
- E. The registrar shall refuse to register any person if the registrar determines:
- 1. The application contains false, misleading, or incomplete information;
- 2. The applicant fails to provide a certificate of good
 standing or a trade name report from the Office of the Secretary of
 State;
- 3. The applicant, qualifying party, or any member of the legal entity fails or refuses to provide any information requested by the registrar;
 - 4. The applicant fails or refuses to pay the required fees;

- 5. The applicant, qualifying party, or owner or officer or managing member of the legal entity is ineligible for registration due to a suspended or revoked registration in this state;
- 6. The nonresident applicant has a revoked or suspended registration or license required by law for roofing contractors in another state; or
- 7. The applicant, qualifying party, or legal entity has failed or refuses to submit any taxes due in this state.
- F. The registrar shall notify the applicant in writing if the registrar denies a registration or renewal certificate, and shall provide the applicant an opportunity to respond to or cure any defect in the written application or renewal for a period of ten (10) days from the date of the written notification. An applicant aggrieved by a decision of the registrar denying a registration or renewal may appeal the decision as provided in the Roofing Contractor Registration Act, the Construction Industries Board Act, or the Administrative Procedures Act, or the applicant may reapply after a ninety-day waiting period, if otherwise eligible under the provisions of the Roofing Contractor Registration Act. The application and renewal fees shall not be refundable.
- G. The registrar shall classify as not in good standing the registration of any roofing contractor who fails to:
 - 1. Maintain liability insurance coverage;

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- 2. Maintain workers' compensation coverage satisfactory under the Workers' Compensation Act, or provide an affidavit of exemption or self-insurance as authorized pursuant to the Workers' Compensation Act;
- 3. File, renew, or properly amend any fictitious name certificate;

- 4. Maintain an active status of a corporation or registration as a foreign corporation, a limited liability company or registration as a foreign limited liability company, a limited liability partnership registration or foreign limited liability partnership registration, or a limited partnership certificate or limited partnership or foreign limited partnership certificate of authority, with the Office of the Secretary of State;
 - 5. File or renew a trade name registration;
- 6. Maintain or renew a roofing contractor registration as provided in the Roofing Contractor Registration Act;
- 7. Notify the registrar of a change in name, address, legal business entity, qualifying party, legal service agent, or adjudication by a court of competent jurisdiction for any act or omission specified in subsection A of Section 1151.14 of this title or a violation of the Roofing Contractor Registration Act;
- 8. Maintain a registration as required by law in another state while registered in this state as a nonresident roofing contractor; or

9. File and pay all taxes of the qualifying party and legal entity when due in this state.

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- H. The registrar shall send a written notice to the qualifying party when his or her registration is not in good standing.
- Any roofing contractor who has been notified by the registrar that his or her registration is not in good standing shall cease soliciting or entering new roofing services and projects as of the date of such notification; however, the roofing contractor shall be allowed to complete roofing projects where actual physical work has begun prior to the date of issuance of the notice that his or her registration is not in good standing. The roofing contractor must disclose the change in standing to any homeowner or other person who has an interest in any job covered under the Roofing Contractor Registration Act. Upon notice of a change in standing, the homeowner shall have the option to cancel the contract. roofing contractor will be owed the actual cost incurred for materials and the market value of labor already incurred on the job. The roofing contractor must obtain an updated authorization from the homeowner and other parties of interest if there is an agreement to continue the job as originally negotiated. If the roofing contractor fails to correct the deficiency specified in the notice by evidence satisfactory to the registrar within thirty (30) days of the date of the notice, or if the roofing contractor solicits or enters into new roofing services contracts or projects while the

roofing contractor's registration is not in good standing, or while such registration is suspended or revoked, the roofing contractor shall be in violation of the provisions of the Roofing Contractor Registration Act.

- J. Any registration that remains not in good standing for a sixty-day period shall be suspended on the sixtieth day from the date of issuance of the notice to the roofing contractor that his or her registration is not in good standing. Any registration that remains not in good standing, and is suspended for such cause, shall be revoked on the ninetieth day from the date of issuance of the notice to the roofing contractor that his or her registration is not in good standing. The registrar shall notify the roofing contractor upon suspension or revocation of his or her registration for failure to comply in bringing such registration into good standing as required by law. The roofing contractor may reinstate his or her registration to good standing by paying the required fees provided in Section 1151.12 of this title and complying with all other requirements for issuance of a registration in good standing.
- K. Any registrant, qualifying party, or roofing company owner aggrieved by the decision of the registrar to suspend or revoke a registration pursuant to this section may appeal such decision as provided in this act or the Administrative Procedures Act.

SECTION 5. AMENDATORY 59 O.S. 2011, Section 1151.7, as amended by Section 5, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020, Section 1151.7), is amended to read as follows:

Section 1151.7. The holder of a roofing contractor registration certificate is entitled to engage in the roofing business within this state pursuant to the provisions of the Roofing Contractor Registration Act, and subject to the following limitations:

- 1. A roofing contractor's registration certificate number shall be valid and in good standing at the time of soliciting a project and during subsequent job performance;
- 2. Each roofing contractor issued a roofing contractor registration shall display the roofing firm name and the roofing contractor registration number bearing the initials "OK" preceding that registration number issued by the registrar on all vehicles used to transport materials and tools in the operation of the business. Such names, endorsements and numbers shall be printed in letters and numerals at least two (2) inches in height in a conspicuous location on both sides of each vehicle in contrasting color to the background color;
- 3. Each roofing contractor issued a commercial roofer endorsement or a commercial labor-only endorsement shall display the roofing firm name and the information that the roofing contractor has a commercial roofer endorsement or a commercial labor-only endorsement issued by the registrar on all vehicles used to

transport materials and tools in the operation of the business.

Such endorsement information shall be in print size that is at least two (2) inches in height in a conspicuous location on both sides of each vehicle in contrasting color to the background color;

- 4. Each registrant shall post in a conspicuous place on the job site the name, existence of any endorsement, registration number, and telephone number for the registration under which any work is being performed, and on all media containing the registrant's name, including but not limited to magnetic signs on vehicles, business cards, contracts, bids, letterhead, signs, and advertisements;
- 5. A roofing contractor's registration certificate number shall be submitted when applying for any permit issued by the state, or any of its political subdivisions, for commercial or residential roofing services or projects, if a permit is required by such authority, and shall be written upon each permit issued. Provided, however, no permitting authority shall require a roofing contractor registration certificate as a condition to issuing a permit when registration is exempt pursuant to Section 1151.9 of this title;
- 6. A roofing contractor's registration certificate cannot be shared or used by any other individual or business entity; provided, however, a business firm, partnership, association, corporation, limited liability company, or other group or combination thereof acting as a unit may be granted a single roofing registration certificate number for use by designated roofing contractors acting

as agents for the business entity when the application for registration contained sufficient information on each member, partner, officer and agent and the registrar issued a single certificate number to such persons as a business unit;

- 7. Upon any change to the name, address, business entity, qualifying party, change in firm ownership of fifty percent (50%) or more of the stock or beneficial interest in the company, or legal service agent of a roofing contractor or upon adjudication by a court of competent jurisdiction for a violation of the Roofing Contractor Registration Act or an act or omission specified in subsection A of Section 1151.14 of this title, the registrar shall be notified in writing within ten (10) days. Any proposed or final order or notice of hearing to the last-known address of record shall be considered delivered when deposited in the United States mail and/or sent registered or certified or post office receipt secured. Any other communication to the last-known address of record of a registrant shall be considered delivered when deposited in the United States mail, regular mail;
- 8. A roofing contractor shall comply with state laws and local ordinances relating to standards and permits for roofing services and projects;
 - 9. A roofing contractor must pay taxes due in this state;
- 10. Each registrant shall notify the registrar within ten (10) days after he or she receives notice that any felony conviction has

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1 been rendered against him or her or the registrant or qualifying
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- 2 | party has pled guilty or nolo contendere to a felony. The
- 3 | notification shall be in writing, by certified mail, and shall
- 4 | include a copy of the conviction, plea, or judgment and sentence;
- 5 | 11. Each registrant shall notify the registrar immediately upon
- 6 receipt of an order imposing disciplinary action upon its
- 7 registration issued by any other professional regulatory board, in
- 8 | this or any other jurisdiction. Disciplinary action taken against
- 9 any other professional registration or license held by the
- 10 registrant in this jurisdiction or any other jurisdiction is grounds
- 11 | for disciplinary action against the registration issued pursuant to
- 12 | the Roofing Contractor Registration Act; and
- 13 | 12. Each registrant shall utilize a valid written contract when
- 14 engaging in the business of roofing contractor work. The contract
- 15 | shall contain the requirements described in Section 1151.21 of this
- 16 title. Committing fraud when executing or materially altering a
- 17 | roofing contract, mortgage, promissory note or other document
- 18 | incidental to performing roofing contractor work, is a violation of
- 19 | the Roofing Contractor Registration Act.
- 20 SECTION 6. AMENDATORY 59 O.S. 2011, Section 1151.9, as
- 21 last amended by Section 2, Chapter 178, O.S.L. 2018 (59 O.S. Supp.
- 22 2020, Section 1151.9), is amended to read as follows:
- 23 Section 1151.9. A. Any administrative or governing body with
- 24 authority to enter into public contracts shall require individual

roofing contractor registration for purposes of such persons submitting or entering into any bid or contract involving roofing contractor work.

- B. The Roofing Contractor Registration Act does not apply to:
- 1. An actual owner of residential or farm property who physically performs, or has family member, employee or employees who perform with or without remuneration, roofing services including, construction, installation, renovation, repair, maintenance, alteration, waterproofing, or removal of materials or structures on property owned by such person;
- 2. Any authorized employee, representative or representatives of the United States Government, the State of Oklahoma, or any county, municipality, or other political subdivision of this state;
- 3. Any person who furnishes any fabricated or finished product, material, or article of merchandise which is not incorporated into or attached to real property by such person so as to become affixed thereto;
- 4. Any person including churches or other charitable entities that provide roof repairs or replacements at no charge using volunteer labor;
- 5. Any employee of a registrant who does not hold himself or herself out for hire, advertise, or engage in contracting, except as an employee of a registrant;

6. Licensed engineers, licensed architects, licensed HVAC and any other person licensed by the jurisdiction, operating under the purview and within the scope of their respective license;

- 7. A person who only furnishes roofing materials, roofing supplies or equipment and does not, nor do the person's employees, install or fabricate them into or consume them in the performance of the work of the roofing contractor;
- 8. Prime contractors, general contractors, property managers and project managers who bid on construction trade work in areas additional to roofing contractor work, and subcontract the roofing contractor work as long as they subcontract the roofing work to a currently registered roofing contractor who is in good standing; if the bid is solely for roofing contractor work, then a registration is required;
- 9. Owners of commercial properties including residential rental properties consisting of four dwelling units or less, when acting as their own roofing contractor and providing all material supervision themselves, lessees of residential properties with the consent of the owner, who, whether themselves or with their own employees, perform roofing construction in or upon the properties, all installing roofing materials according to the International Building Code, as adopted by the Oklahoma Uniform Building Code Commission, or the manufacturer's installation instructions;

10. Owners of property when acting as their own roofing contractor, providing all material supervision themselves, and installing roofing materials according to the International Residential Code, as adopted by the Oklahoma Uniform Building Code Commission, or the manufacturer's installation instructions when building or improving a single-family dwelling residence on such property for the occupancy of such owners and not intended for sale or rent. In any action brought under the Roofing Contractor Registration Act, proof of the sale or offering for sale of such structure or the renting or offering to rent of such structure by the owners of the property within one (1) year after substantial completion of the structure when the structure can be occupied and used as intended but punch list items may remain, is presumptive evidence that the construction was undertaken with the intent of sale or rent; or

- 11. Metal building erectors who install prefabricated- or preengineered-metal-building packages, known as PEMBs, as defined by
 the Construction Science Institute and Construction Specifications
 Institute in Division 13, Special Construction: Metal Building
 Systems.
- C. 1. Labor-only crews performing the installation of asphalt shingles, tile shingles, synthetic shakes or wood shakes to a sloped roof must be registered but are not required to have a commercial endorsement.

2. Commercial labor-only subcontractors performing the labor for installation of roofing work must be registered and have a commercial labor-only endorsement but are not required to have a commercial endorsement and must be working under direct supervision of a commercial-endorsed contractor that has full responsibility over the actions and performance of the commercial labor-only subcontractor. SECTION 7. AMENDATORY 59 O.S. 2011, Section 1151.10, as amended by Section 9, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020, Section 1151.10), is amended to read as follows: Section 1151.10. A. Within twenty-five (25) calendar days from the date of application, the registrar shall either issue or deny the roofing contractor registration. No registration shall be issued to a qualifying party until the registrar receives all documentation and fees necessary to obtain a registration certificate in good standing. The registration certificate issued on an original application entitles the person to act as a roofing contractor within this state, subject to the limitations of the Roofing Contractor Registration Act. Until January 1, 2015, all registrations shall expire on June 30 of each year and may be renewed from year to year. Beginning no later than January 1, 2015, all registrations issued shall be renewed based on staggered expiration dates of the last day of the birth month of the qualifying party so that all registrations and endorsements shall

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expire on the last day in the birth month of the qualifying party. The Construction Industries Board is authorized to prorate registration and renewal fees, as described by rule, so that beginning January 1, 2015, or thirty (30) days after rules have been approved, fees for renewals previously due by June 30, 2015, are prorated and converted to be due the last day of the birth month of the qualifying party by shortening or lengthening the next renewal date by up to six (6) months. Beginning the effective date of this act, new initial registrations and endorsements will be issued for up to eighteen (18) months and due for renewal the last day of the birth month of the qualifying party. After initial proration or conversion to birth month, no subsequent registration or endorsement shall be issued for longer than one (1) year and all endorsements shall expire on the last day in the birth month of the qualifying The Construction Industries Board shall implement rules for the scheduling of expiration and renewal of registrations and endorsements, including the prorating of fees and the identification and information of the qualifying party. The commercial roofer endorsement or commercial labor-only endorsement shall expire on the expiration date of the supporting registration.

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B. An applicant or qualifying party whose registration or endorsement is refused or denied by the registrar may obtain a hearing before the Committee of Roofing Examiners with written notice to the registrar of the grounds for appeal and identification

- of evidence to be presented in support of the application
 requirements and any other information showing the applicant's
 ability and willingness to comply with the requirements of the act,
 and to protect the public health, safety and welfare. Such appeals
 to the Committee of Roofing Examiners shall be made by the
 qualifying party in writing to the registrar within fourteen (14)
 days from the date of the written notification of denial or refusal
 to register or endorse.
 - C. The Construction Industries Board shall issue a commercial roofer endorsement to any person who:
 - 1. Has been certified by the Committee of Roofing Examiners as having successfully passed the appropriate examination; and
 - 2. Has paid the application and endorsement fee and has otherwise complied with all of the provisions of the commercial roofer endorsement of the Roofing Contractor Registration Act. The endorsement fee is hereby established as up to shall not exceed Two Hundred Dollars (\$200.00). Renewal of a commercial roofer endorsement shall be One Hundred Dollars (\$100.00).

Endorsements renewed more than thirty (30) days following the date of expiration may only be renewed upon application and payment of the required fees and payment of late renewal fee in the amount of One Hundred Dollars (\$100.00).

No endorsement shall be renewed unless the licensee person has completed the required hours of continuing education recommended by the Committee and as set forth by rule.

No late fee shall be charged to renew a registration or endorsement which expired while the applicant was in active military service, if application for renewal is made within one (1) year of discharge from active duty status.

- D. The Construction Industries Board shall issue a commercial labor-only endorsement to any person who:
- 1. Has submitted a surety bond in the amount of Five Thousand

 Dollars (\$5,000.00) to ensure the subcontractor will fully and

 faithfully comply with all provisions of law, rule, and regulation

 governing the authority to perform work authorized under the

 endorsement. The bond shall be a continuous bond with a thirty-day

 cancellation notice requirement. The bond shall be conditioned upon

 the faithful and lawful performance of all work entered upon by the

 subcontractor within this state and shall be for the benefit of

 persons injured or suffering financial loss by reason of failure of

 the subcontractor's performance. The bond must be filed with the

 Bonds and Insurance Unit of the Oklahoma Construction Industries

 Board;
- 2. Has submitted two notarized verification of experience

 letters signed and notarized by two separate commercial endorsed

 roofing contractors attesting to their personal knowledge that the

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1   applicant has a minimum of two years commercial roofing installation
2   experience;
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Dollars (\$100.00); and

- 3. Has paid the application and endorsement fee and has otherwise complied with all of the provisions of the commercial labor-only endorsement of the Roofing Contractor Registration Act.

 The endorsement fee shall not exceed Two Hundred Dollars (\$200.00).

 Renewal of a commercial labor-only endorsement shall be One Hundred
- 4. Has submitted a copy of the commercial labor-only subcontractor's certificate of liability insurance to be filed with the application which shall be not less than One Million Dollars (\$1,000,000.00) for commercial roofing labor-only work.

Endorsements renewed more than thirty (30) days following the date of expiration may only be renewed upon application and payment of the required fees and payment of the late renewal fee in the amount of One Hundred Dollars (\$100.00).

No endorsement shall be renewed unless the person has completed the required hours of continuing education recommended by the Committee as set forth by rule.

No late fee shall be charged to renew a registration or endorsement which expired while the applicant was in active military service, if application for renewal is made within one (1) year of discharge from active duty status.

SECTION 8. AMENDATORY 59 O.S. 2011, Section 1151.14, as amended by Section 11, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020, Section 1151.14), is amended to read as follows:

Section 1151.14. A. Any person may file a written duly verified complaint with the registrar alleging that a person has committed any of the following acts or omissions:

- 1. Abandonment of a roofing contract without legal excuse after a deposit of money or other consideration has been paid;
- Diversion of funds or property entrusted to a roofing contractor;
- 3. Engaging in any fraudulent or deceptive acts or practices or misrepresentation of products, services or qualifications as a roofing contractor;
- 4. Making a false or misleading statement in an application for roofing contractor registration or renewal application or in soliciting a contract for roofing services;
- 5. Adjudication against the roofing contractor by a court of competent jurisdiction for a violation of the provisions of the Roofing Contractor Registration Act, or a license or registration suspended, revoked or other discipline imposed by any other professional regulatory board in this or any other jurisdiction;
- 6. Engaging in or offering to engage in work without a valid registration and commercial roofer endorsement or commercial labor-only endorsement as required for roofing contractors pursuant to the

Roofing Contractor Registration Act or performing roofing services
during any period when the roofing contractor's registration is
denied, suspended or revoked;

- 7. Engaging in or offering to engage in roofing services without obtaining a proper permit as may be required by any state or local authority;
- 8. Failure to comply with any tax laws authorized by the state or any of its political subdivisions;
- 9. Damaging or injuring persons or property while performing roofing services under a valid roofing contractor registration for which the roofing contractor's liability insurance or workers compensation coverage was inadequate;
- 10. Failure to display the roofing firm name, existence of any commercial roofer endorsement or commercial labor-only endorsement, if any, and the roofing contractor registration number on all vehicles used to transport materials and tools in the operation of the business in letters and numerals at least two (2) inches in height in a conspicuous location on both sides of each vehicle in contrasting color to the background color;
- 11. Failure to post in a conspicuous place on each job site the name, existence of any commercial roofer endorsement or commercial Labor-only endorsement, registration number, and telephone number for the registration under which any work is being performed;

12. Engaging in or offering to engage in roofing contractor work using a roofing registration number of another registrant, whether the registration is or is not in good standing;

- 13. Advertising, either directly or through another, for roofing contractor work without a valid, continuing registration, or without displaying registration number on advertisement, including but not limited to contracts and signage on vehicles;
- 14. Soliciting roofing contractor work through contracts obtained by salespersons not under the direct supervision and employment of a registered roofing contractor when such contract is then sold for remuneration or something of value and consists of a pattern of conduct that can be shown to be a business practice in a secondary market of sales of contracts for profit;
- 15. Gross defects in workmanship in a roofing contractor project that risks serious harm or injury to a person, or unjustly causes monetary damages in excess of Five Thousand Dollars (\$5,000.00); or
- 16. Failure to comply with a specified provision of the Roofing Contractor Registration Act.
- B. The complaint shall be on a form approved by the registrar and shall set forth the alleged act or omission stated in subsection A of this section, and a statement of sufficient facts upon which a reasonable person could conclude that the act or omission specified in subsection A of this section has been committed. All complaints

- 1 | filed with the registrar shall be open to public inspection.
- 2 | Nothing in this section shall be construed to require the
- 3 complainant to first file a complaint with the registrar before
- 4 | seeking relief or remedies allowed by law.
- 5 C. A complaint received by the registrar as provided in this
- 6 | section may be retained by the Board for investigation, citation,
- 7 and hearing before the Roofing Hearing Board or, in the Board's
- 8 discretion, the complaint may be referred to the district attorney
- 9 for appropriate disposition as determined by the district attorney,
- 10 | in his or her discretion.
- 11 SECTION 9. AMENDATORY 59 O.S. 2011, Section 1151.17, as
- 12 | amended by Section 13, Chapter 270, O.S.L. 2014 (59 O.S. Supp. 2020,
- 13 | Section 1151.17), is amended to read as follows:
- 14 Section 1151.17. A. When applying for any permit required by
- 15 | the state or any of its political subdivisions for roofing services
- 16 or jobs, a roofing contractor shall supply the permit-issuing
- 17 | official that roofing contractor's registration certificate number
- 18 | issued pursuant to the Roofing Contractor Registration Act. That
- 19 official shall enter a roofing contractor's registration number on
- 20 | the permit. The registrar may investigate any roofing project for
- 21 purposes of verifying roofing contractor registration or permit
- 22 verification.
- B. A person performing as a roofing contractor on his or her
- 24 own property, although exempt from the registration requirements of

the Roofing Contractor Registration Act, shall, when applying for a permit required for the project, supply the permit-issuing official any roofing contractor registration number, as soon as available, of each roofing subcontractor engaged in roofing services and doing work covered by the permit, if any. That official shall enter each roofing contractor registration number so supplied before inspection of the job.

- C. A roofing contractor shall display his or her roofing contractor registration number issued pursuant to the Roofing Contractor Registration Act on every business sign, card, correspondence, and contract used to solicit and conduct roofing services in this state and shall display the roofing firm name, existence of any commercial roofer endorsement or commercial laboronly endorsement, and the roofing contractor registration number bearing the initials "OK" preceding that registration number issued by the registrar on all vehicles used to transport materials in the operation of the business. Such names, endorsements, and numbers shall be printed in letters and numerals at least two (2) inches in height in a conspicuous location on both sides of each vehicle in contrasting color to the background color.
- D. Each registrant shall post in a conspicuous place on each job site the name, existence of any commercial roofer endorsement or commercial labor-only endorsement, registration number, and telephone number for the registration under which any work is being

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1 | performed, and on all media containing the registrant's name,
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- 2 | including but not limited to magnetic signs on vehicles, business
- 3 cards, contracts, bids, letterhead, signs, and advertisements.
- 4 SECTION 10. AMENDATORY Section 16, Chapter 270, O.S.L.
- 5 | 2014 (59 O.S. Supp. 2020, Section 1151.27), is amended to read as
- 6 | follows:
- 7 | Section 1151.27. The Committee of Roofing Examiners shall:
- 8 1. Assist and advise the Construction Industries Board on all
- 9 matters relating to the formation of rules and standards in
- 10 accordance with the provisions of the Roofing Contractor
- 11 | Registration Act and the provisions of the commercial roofer
- 12 endorsement or commercial labor-only endorsement of the Roofing
- 13 | Contractor Registration Act;
- 2. Assist and advise the Board in prescribing and adopting
- 15 | forms for registration and endorsement applications;
- 16 3. Assist and advise the Board on the examinations for
- 17 applicants for a commercial roofer endorsement of the Roofing
- 18 | Contractor Registration Act and rules thereof, and on all matters
- 19 relating to the commercial roofer endorsement of the Roofing
- 20 | Contractor Registration Act;
- 4. Assist and advise the Board on establishment of standards
- 22 and procedures for continuing education requirements for a
- 23 | commercial roofer endorsement and procedures for all matters
- 24 pertaining to the formation of rules;

5. Approve applications for continuing education classes or credits for a commercial roofer endorsement, and assist and advise the Board on establishment of standards and procedures for the approval of continuing education provider applications;

- 6. In its discretion, issue an advisory opinion to any registrant, governmental official or entity substantially affected by a rule or statute enforceable by the Board;
- 7. Hear requests for reconsideration of registration and endorsement applications denied or refused by the registrar;
- 8. When deemed necessary by the Committee, appoint a member of the Committee to assist in investigating an alleged violation of the provisions of the Roofing Contractor Registration Act and of any rules promulgated pursuant thereto. Such member then would be disqualified from participation as a Roofing Hearing Board member in the same matter brought before the Roofing Hearing Board for adjudication;
- 9. Develop the requirements for issuance of a registration and endorsement by reciprocity to an applicant who is currently licensed or registered to engage in roofing contractor work in another state for recommendation to the Board for its rulemaking process;
- 10. Recommend to the Board that the Board enter into a written reciprocity agreement with another state if the requirements for registration in the other state are deemed by the Committee to be substantially the same or equivalent to the requirements for

obtaining an original registration in force in this state at the date of such registration and:

- a. that one (1) year immediately prior to the date of payment of the required fee the applicant lawfully engaged in roofing contractor work within and under the laws of the state pursuant to a license or registration issued thereby authorizing such roofing work,
- b. that no disciplinary matters are pending against the applicant in any city, state, country, territory or province, and relating to the roofing trade in which the applicant seeks reciprocity,
- c. that the registration being reciprocated was obtained by equivalent or substantially the same requirements in the state wherein it was issued,
- d. that there is a written agreement between the states with equivalent or substantially similar registration requirements, and
- e. that the applicant meets all other requirements of the Construction Industries Board Act, including payment of the applicable license fee;
- 11. Have such other powers and duties as are necessary to implement the commercial roofer endorsement or commercial labor-only endorsement of the Roofing Contractor Registration Act; and

12. Assist and advise the Board in such other matters as requested thereby.

SECTION 11. AMENDATORY Section 17, Chapter 270, O.S.L.

2014 (59 O.S. Supp. 2020, Section 1151.28), is amended to read as

5 follows:

Section 1151.28. A. The designee of the Construction

Industries Board, as chair, and the Committee of Roofing Examiners shall act as the Roofing Hearing Board and shall comply with the provisions of the Construction Industries Board Act, rules and Article II of the Administrative Procedures Act.

- B. Any administrative hearing on suspensions, revocations or fines shall be conducted by a hearing examiner appointed by the Construction Industries Board. The hearing examiner's decision shall be a final decision which may be appealed to a district court in accordance with the Administrative Procedures Act and the Construction Industries Board Act and rules and regulations.
- C. The Roofing Hearing Board may, upon its own motion, and shall, upon written complaint filed by any person, investigate and conduct hearings on the business transactions of any roofing contractor, firm or any person soliciting or engaging in roofing contractor work.
- D. The Roofing Hearing Board may conduct hearings on citations issued to any person pursuant to the Roofing Contractor Registration

1 Act, applications for suspension and revocation of a registration or 2 endorsement.

- E. The Construction Industries Board shall suspend or revoke or may refuse to issue or renew any license or registration under the commercial roofer endorsement or commercial labor-only subcontractor provisions of the Roofing Contractor Registration Act for any of the following standards of the Roofing Contractor Registration Act:
- 1. Making a material misstatement in the application for an endorsement, or the renewal of an endorsement;
- 2. Obtaining any endorsement by false or fraudulent representation;

- 3. Loaning or allowing the use of such endorsement by any other person or illegally using an endorsement;
 - 4. Demonstrating incompetence to act as a commercial roofing contractor or commercial labor-only subcontractor;
 - 5. Violating any provisions of the Roofing Contractor
 Registration Act, or any rule or order prescribed by the
 Construction Industries Board pursuant to the provisions of the
 Roofing Contractor Registration Act; or
- 6. Willfully failing to perform normal business obligations without justifiable cause.
- F. Any person whose endorsement or registration has been revoked by the Roofing Hearing Board may apply for a new license one (1) year from the date of such revocation.

1	SECTION 12.	This act	shall become	effective Novembe	r 1, 2021.
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